Lipase-Mediated Separation of the Stereoisomers of 1-(1-Hydroxyethyl)-2-(hydroxymethyl)ferrocene

Giovanni Nicolosi,* Angela Patti, and Mario Piattelli

Istituto del CNR per lo Studio delle Sostanze Naturali di Interesse, Alimentare e Chimico-Farmaceutico, Via del Santuario 110, 95028 Valverde CT, Italy

Received July 26, 1993

Because of the increasing interest in catalytic asymmetric synthesis, numerous enantiopure organometallic compounds valuable as chiral auxiliaries, most of which are ferrocene derivatives, have been described in the last years. 1a-c On the other hand, the chemoenzymatic methodology relying on the enantioselectivity or prochiral selectivity of lipases in the transesterification of monoand polyhydroxy alcohols has been successfully applied to metallocenes, and examples of synthesis of optically active ferrocenyl alcohols with central or planar chirality have been described. Thus, Y.-F. Wang et al.2 and N. W. Boaz³ obtained both enantiomers of 1-ferrocenylethanol (the R form as ester) in good optical purities, while M.-J. Kim et al.4 and D. Lambusta et al.5 efficiently resolved (2-hydroxypropyl)ferrocene and 1,1'-bis(1-hydroxyethyl)ferrocene, respectively. The feasibility of the resolution of planar chiral (hydroxymethyl) ferrocenes and [4](1,2)ferrocenophanes has been demonstrated by T. Izumi's group. Finally, Nicolosi et al. made use of the planar prochiral selectivity of lipases to obtain both enantiomers of 1-[(acetyloxy)methyl]-2-(hydroxymethyl)ferrocene from the meso compound 1,2-bis(hydroxymethyl)ferrocene using as the catalyst different enzymatic preparations.8 Some of the above compounds are possible precursors in the preparation of chiral ligands for homogeneous asymmetric catalysis.9a,b

A logical extension of our previous work on the enzymatic manipulation of ferrocene derivatives was to examine a compound having both central and planar chirality as substrate for enzyme-catalyzed transesterification, and we report herein the results obtained with both racemates of 1-(1-hydroxyethyl)-2-(hydroxymethyl)ferrocene.

The substrates for the optical resolution were prepared from [(dimethylamino)methyl]ferrocene essentially according to one of the procedures described by C. Moise et al. 10 Lithiation with n-BuLi and subsequent treatment

Table 1. Transesterification of 1-(1-Hydroxyethyl)-2-(hydroxymethyl)ferrocene Catalyzed by Pseudomonas cepacia Lipases Racemate A

reaction time	diol 1		monoester 2b		diester 2d	
	yield, % ^b	ee, %	yield, % ^b	ee, %	yield, % b	ee, %
4	75	27	25	79	_	
12	52	59	48	50	_	-
24	27	>97	59	23	14	>97
36°	22	>97	55	10	18	>97

Racemate B

reaction time	diole 3a		monoester 4b		diester 3d	
	yield, %b	ee, %	yield, %b	ee, %	yield, % ^b	ee, %
3	73	28	27	78	-	_
6	50	61	50	61	-	_
12	41	>95	59	58	-	-
24	32	>95	68	42	_	_
42°	_	_	78	27	17	>95

^a Conducted according to the standard procedure described in the Experimental Section. b Determined by HNMR of the crude reaction mixture. c A small amount (5%) of secondary monoester is also present in the reaction mixture.

with acetaldehyde gave 2-[(dimethylamino)methyl]-1-(1hydroxyethyl)ferrocene (HDMF) as a mixture of two racemates, which were separated by column chromatography. Each individual racemic HDMF was reacted with methyl iodide to give the quaternary ammonium salt wherefrom the relevant racemate of 1-(1-hydroxyethyl)-2-(hydroxymethyl)ferrocene was obtained by treatment with alkali. On the basis of our previous work, immobilized lipase from Pseudomonas cepacia was examined for the transesterification of the two racemic diols in toluene using vinyl acetate as irreversible acyl donor. Experiments were carried out at different conversions of the substrate. At the end of the incubation time, the reaction was quenched by filtering off the enzyme and the reaction mixture was analyzed by ¹H NMR spectroscopy or separated by column chromatography. In each case enantiomeric excess (ee) of products was estimated by ¹H NMR spectral analysis in the presence of a chiral shift reagent. The results are listed in Table 1.

The first racemate (A: equimolecular mixture of la and 2a) gave initially a monoester, (1S)-[(1R)-hydroxyethyl]-(2R)-[(acetyloxy)methyl]ferrocene¹¹ (2b), whose ee value declined with the reaction progress. After 24 h, the reaction mixture contained, along with 2b of low optical purity, unreacted (1R)-[(1S)-hydroxyethyl]-(2S)-(hydroxymethyl) ferrocene (1a) (27%, theoretical maximum yield 50%) and (1S)-[(1R)-(acetyloxy)ethyl]-(2R)-[(acetyloxy)methyl]ferrocene (2d) (14%), both optically pure (ee > 97%).

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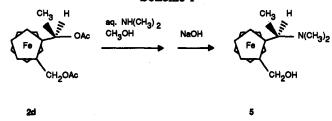
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⁽¹¹⁾ Different systems have been proposed for specification of metallocenes chirality. The Schlögl-Cahn-Prelog system (Schögl, K. Top. Stereochem. 1967, 39) is used in this paper.

Scheme 1



The chemical yield of 2d increased by prolonging the reaction time, and a preparative run (see Experimental Section) with 120-h incubation time allowed isolation of this diester in 30% yield without appreciable loss of optical purity. It is to be noted that for incubation times longer than 24 h an additional component was detectable through the appearance of separate signals in the ¹H NMR spectrum of the crude reaction mixture (a singlet for an acetate at δ 1.98, a quartet for an oxygen-bearing methine at δ 5.95, and a doublet for a methyl at δ 1.66). This new compound was not isolated, but tentatively identified on the basis of the presence of above resonances, as 1-[(1acetyloxy)ethyl]-2-(hydroxymethyl)ferrocene (1c and/or 2c). Since chemical hydrolysis of 2d gave diol 2a with very high ee, resolution of the first racemate was positively achieved.

Assignment of the absolute structure of the resulting diols was ascertained by converting diacetate 2d to the reported compound 5 by reaction with aqueous dimethylamine followed by hydrolysis (see Scheme 1). ^{12a,b} Thus the absolute structure of diol 2a was determined to be 1S,2R,R and consequently that of 1a is 1R,2S,S. The stereochemistry of monoacetate 2b¹³ was determined by hydrolysis with base and comparison of the chiroptical properties of the obtained diol with those of 2a.

Reaction of the second racemate (B: equimolecular mixture of 3a and 4a) under the same conditions initially proceeded comparatively faster and resulted in the formation of (1S)-[(1S)-hydroxyethyl]-(2R)-[(acetyloxy)-methyl]ferrocene (4b), whose optical purity decreased by increasing the reaction time. After 12 h conversion of

substrate was 59% and unreacted (1R)-[(1R)-(hydroxy)-ethyl]-(2S)-(hydroxymethyl)ferrocene (3a) could be isolated with high optical purity (ee > 97%), while 4b was obtained with a lower ee (58%). In order to obtain a sample of 4b of better optical purity, a run of the transesterification was stopped after 3 h. Chromatographic separation of the monoester fraction from the unreacted diol gave 4b in 24% chemical yield with 78% ee. After prolonged reaction time (36 h) the starting material was no longer present in the mixture which contained, in addition to

Scheme 2

primary monoacetate 4b of very low optical purity, significant amounts (17%) of diacetate 3d along with lesser amounts of a secondary monoacetate (stereochemistry not assessed, 3c and/or 4c).

The absolute configuration of monoacetate 4b was established as 1S,2R,S by MnO_2 oxidation to ketone 6, which had the same sign of optical rotation as that of the ketone prepared by oxidation of 2b (see Scheme 2). This implies that the unreacted diol 3a is 1R,2S,R. The stereochemistry of diester 3d followed from the sign of the optical rotation of the product of its alkaline hydrolysis, which was the same as that of diol 3a.

Optimization of the ee value of 4b (and consequently of diol 4a from its hydrolysis) in the direct acetylation mode would imply a infinitesimally small reaction time and, consequently, a vanishingly low chemical yield. A possible strategy to enhance the ee value of the faster reacting enantiomer was the adoption of the double kinetic resolution methodology, ¹⁴ in which the product of the first kinetic resolution (in the case in hand, monoacetate 4b) is subjected to a lipase-catalyzed hydrolysis. Unfortunately, attempts at utilizing this two-step strategy gave in our case unsatisfactory results, due to the lability of the substrate in the hydrolysis step.

In conclusion, in this study lipase from *P. cepacia* was found to be effective for the resolution of both racemates of a ferrocene derivative, 1-(1-hydroxyethyl)-2-(hydroxymethyl)ferrocene, having planar chirality owing to the 1,2 unsymmetrical substitution and containing in addition a chiral carbon atom in one of the substituents. Three of the optically active forms (1S,2R,R,1R,2S,S, and 1R,2S,R)

F-P

(1)

¹H NMR δ 1.55 (d, J = 6.4 Hz, 3H, CH₃CHOCH₂), 3.9 9 (a, 5H, Cp'), 3.94 and 4.36 (AB system, d, J = 10.7 Hz, each 1H, CH₂OCH), 4.26 (m, 2H, Cp), 4.43 (m, 1H, Cp), 4.32 (m, 1H, CH₃CHOCH₂); ¹³C NMR δ 22.06 (CH₃CHOCH₂), 61.75 (CH₂OCH), 65.40 (CH₃CHOCH₂), 66.28 (Cp), 68.23 (Cp), 69.37 (Cp'), 69.52 (Cp), 82.89, 88.64.

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⁽¹³⁾ A sample of 2b on standing at room temperature for a period of 2 weeks was completely converted into a compound whose structure was determined as 6-methyl-7-oxa-[3](1,2)ferrocenophane (i) from ¹H and ¹³C NMR spectra:

were obtained in excellent optical purity and the fourth one (1S,2R,S) with good enantiomeric excess. Transesterification of the ferrocene diols appears to be controlled initially by both the chemodifferentiation between primary and secondary hydroxyl groups (the former reacting faster) and the enzymatic recognition of the planar chirality. Indeed, for both racemates it is observed that the enantiomer in which the primary hydroxyl group is in position 2R is acylated preferentially, in accordance with a previous observation on the prochiral compound 1,2bis(hydroxymethyl)ferrocene.6 When a large proportion of starting diol has been converted into primary monoacetate, this begins to undergo acylation at the secondary hydroxyl, and the steric course of this second step appears to be dictated essentially by the central chirality and, as expected from the known stereopreference of P. cepacia lipase. 15 the R stereogenic center is preferentially acylated. Since this center in the case of racemate A is embodied in the faster-formed monoester (i.e. 2b) and in the case of racemate B in the slower-formed monoester (i.e. 3b), the diester preferentially synthesized in the two instances (2d or 3d) has the same or, respectively, the opposite configuration to that of the corresponding isolated primary monoacetate.

Experimental Section

General. [(Dimethylamino)methyl]ferrocene (DMAF) was from Aldrich. All reagents were analytical grade. Lipase from P. cepacia was obtained from Amano Pharmaceutical Co. Immobilization of the enzyme on Hyflo Super Cel was performed as reported previously. 16 Vinyl acetate was distilled prior to use. Column chromatography was carried out using 40-63 µm LiChroprep Si Diol, unless otherwise stated.

Optical rotations were measured in CHCl₃ solutions. IR spectra were recorded in CHCls. ¹H and ¹³C NMR spectra were run in CDCl₃ at 250.13 and 62.9 MHz, respectively. Europium(III) tris-[3-[(heptafluoropropyl)hydroxymethylene]-(+)-camphorate] [(+)-Eu(hfc)₃] and europium(III) tris[3-[(trifluoromethyl)hydroxymethylene]-(+)-camphorate] [(+)-Eu(tfc)3] were used as chiral shift agents.

Preparation of the Starting Material. Synthesis of the two racemates of 1-(1-hydroxymethyl)-2-(hydroxymethyl)ferrocene was carried out according essentially to a procedure of Moise et al. 10 A solution of DAMF (4 mL, 0.02 mol) in dry ether (15 mL) was treated with n-BuLi (0.024 mol) and the mixture left at room temperature for 2 h. Acetaldehyde (5 mL, 0.09 mol) in ether (10 mL) was then added dropwise and the mixture was kept at 0 °C for 3 h. After addition of water, the organic layer was separated, dried over sodium sulfate, and evaporated under reduced pressure. Chromatography of the residue on Si gel 63- $200 \mu m$ (MeOH/ether 1:1 as the eluent) afforded 1.70 (30% yield) and 1.15 g (20% yield) of the two racemic forms of 2-[(dimethylamino)methyl]-1-(1-hydroxyethyl)ferrocene, whose ¹H-NMR spectra agreed with those reported. 10 Each racemate was individually treated with MeI in CH₈CN for 4 h at room temperature. Addition of ether to the reaction mixture resulted in the precipitation of the quaternary ammonium salt, which was collected by filtration, recrystallized from ethanol, and treated with NaOH to give the corresponding racemate of 1-(1-hydroxyethyl)-2-(hydroxymethyl)ferrocene.

Racemate A (equimolecular mixture of 1a and 2a): orange needles from benzene/hexane, mp 68 °C;17 IR 3382, 3010, 2936, 1469, 1411, 1373, 1281, 1226, 1106, 1083, 1068, 1000 cm⁻¹; ¹H NMR δ 1.55 (d, J = 6.4 Hz, 3H), 4.08 (bs, 6H), 4.20 (m, 1H), 4.25 (m, 1H), 4.32 and 4.66 (AB system, d, J = 12.0 Hz, each 1H), 4.93 $(q, J = 6.4 \text{ Hz}, 1\text{H}); {}^{18}\text{C NMR } \delta 21.08, 59.93, 65.29, 66.35, 66.62.$ 68.88, 70.03, 85.55, 90.75.

Racemate B (equimolecular mixture of 3a and 4a). After recrystallization from benzene/hexane: mp 56 °C;17 IR 3407, 3012, 2978, 2931, 2883, 1451, 1377, 1280, 1249, 1106, 1068, 1001 cm⁻¹; ¹H NMR δ 1.44 (d, J = 6.4 Hz, 3H), 4.13 (t, J = 2.4 Hz, 1H), 4.23 (bs, 7H), 4.33 and 4.42 (AB system, d, J = 12.2 Hz, each 1H), 4.66 $(q, J = 6.4 \text{ Hz}, 1\text{H}); ^{18}\text{C NMR} \delta 24.21, 59.25, 64.19, 66.07, 66.56,$ 68.51, 69.46, 85.29, 93.71.

Enzymatic Acylation. The lipase-mediated transesterification was carried out according to the following typical experimental procedure. To a solution of racemic diol (200 mg, 19.2 mM) in toluene (40 mL) containing vinyl acetate (8.5 μ L/ mL) was added lipase from P. cepacia immobilized on Hyflo Super Cel (50 mg/mL). The suspension was shaken in a stoppered vial at 45 °C under 300 rpm. At the time reported in Table 1 the reaction mixture was filtered to remove the enzyme and the filtrate evaporated under reduced pressure to remove the solvent and excess vinyl acetate. Conversion of substrate and ratio of the products were determined by ¹H NMR spectroscopy of the residue. Column chromatography (AcOEt/petroleum ether 1:4) gave recovered diol and products which were characterized through their spectroscopic properties. Enantiomeric excesses were measured by ¹H NMR analysis in the presence of a chiral reagent [(+)-Eu(hfc)₃ for racemate A and (+)-Eu(tfc)₃ for racemate B], using the integrated areas of the resonances attributable to the corresponding enantiomers and relative to the unsubstituted cyclopentadienyl rings (Cp') or the acetate groups. Also the doublets for the methyls of the hydroxyethyl side chain appear at distinctly different fields. Optical purities of diacetates were measured after hydrolysis to the corresponding

Lipase-Mediated Esterification of Racemate A. For preparative purposes, three separate experiments were run according to the above general procedure with different incubation times. A first run (4-h incubation) allowed isolation of 2b in 22% yield and 79% ee. A second run (24-h incubation) gave 1a in 24% isolated yield and ee > 97%, and 2d in 11% yield (ee > 97%). Diester 2d was isolated in better yield (30%) and high optical purity (ee > 97%) from a third run with 120 h of incubation. 2a was obtained by chemical hydrolysis of 2d.

1(R)-[1(S)-Hydroxyethyl]-2(S)-(hydroxymethyl)**ferrocene** (1a): $[\alpha]_D = -42.5^{\circ} (c \ 0.7)$. ¹H and ¹⁸C NMR spectra were identical with those described above for racemate A.

1(S)-[1(R)-(Acetyloxy)ethyl]-2(R)-[(acetyloxy)methyl]**ferrocene (2d)**: $[\alpha]_D = -93.9^\circ$ (c 0.6); IR 3024, 3013, 1733, 1457, 1373, 1256, 1107, 1056, 1020 cm⁻¹; ¹H NMR δ 1.59 (d, J = 6.5 Hz, 3H), 2.00 (s, 6H), 4.13 (s, 5H), 4.22 (t, J = 2.6 Hz, 1H), 4.33 (d, J = 2.6 Hz), 4.85 and 5.02 (AB system, d, J = 12.1 Hz, each 1H), 6.00 (q, J = 6.5 Hz, 1H); ¹⁸C NMR δ 19.42, 20.92, 21.23, 61.10, 67.38, 67.66, 67.85, 69.30, 71.45, 80.17, 86.83, 170.03 and 170.60. Anal. Calcd for C₁₇H₂₀FeO₄: C, 59.30; H, 5.81. Found: C, 59.15; H, 5.83.

1(S)-[1(R)-Hydroxyethyl]-2(R)-(hydroxymethyl)ferrocene (2a). Hydrolysis of 2d (25 mg) with NaOH in ethanol afforded 2a (16 mg, 85% yield): $[\alpha]_D = +40.0^{\circ}$ (c 0.5). ¹H and ¹⁸C NMR spectra were identical with those described above for

1(S)-[1(R)-Hydroxyethyl]-2(R)-[(acetyloxy)methyl] fer**rocene (2b)**: $[\alpha]_D = -43.6^\circ$ (c 0.9); IR 3026, 3011, 2937, 1730, 1450, 1373, 1240, 1225, 1107, 1082, 1067, 1003 cm⁻¹; 1 H NMR δ 1.55 (d, J = 6.4 Hz, 1H), 2.02 (s, 3H), 4.12 (bs, 5H), 4.20 (m, 1H),4.30 (m, 2H), 4.86 (q, J = 6.4 Hz, 1H), 4.95 and 5.15 (AB systems,d, J = 12.0 Hz, each 1H); ¹³C NMR δ 21.00, 21.42, 61.64, 64.32, 66.81, 67.68, 69.06, 70.84, 79.35, 91.57, 171.09. Anal. Calcd for C₁₅H₁₈FeO₃: C,59.60; H,5.96. Found: C,59.55; H,5.94. Standard hydrolysis of 2b with NaOH in ethanol afforded 2a.

Lipase-Mediated Esterification of Racemate B. Diol 3a was isolated in 37% yield and ee value of >95% from a preparative run with a 12-h reaction time. Monoester 4b, obtained in 24% yield and 78% ee in a second run with 3-h incubation, was subjected to chemical hydrolysis to give 4a. A third run (42-h incubation) gave 3d (14% yield, >95% ee), monoacetate 4b (75% yield, 27% ee) and a small amount of 1-[(1-acetyloxy)ethyl]-2-

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⁽¹⁷⁾ The reported mp's of racemate A and B (see ref 10) are not in agreement with our values.

(hydroxymethyl)ferrocene of undetermined stereochemistry (3c and/or 4c).

 $1(R)-[1(R)-Hydroxyethyl]-2(S)-(hydroxymethyl)-ferrocene (3a): <math>[\alpha]_D = -38.2^{\circ} (c\ 0.5)$. ¹H and ¹³C NMR spectra were identical with those described above for racemate B.

1(S)-[1(S)-Hydroxyethyl]-2(R)-[(acetyloxy)methyl]ferrocene (4b): $[\alpha]_D = +26.4^{\circ}$ (c 0.5); IR 3014, 1733, 1451, 1376, 1250, 1107, 1021, 1001 cm⁻¹; ¹H NMR δ 1.37 (d, J = 6.4 Hz, 3H), 2.02 (s, 3H), 4.17 (m, 1H), 4.21 (bs, 6H), 4.31 (m, 1H), 4.63 (q, J = 6.4 Hz), 4.91 and 4.97 (AB system, d, J = 12.1 Hz, each 1H); ¹³C NMR δ 20.90, 24.69, 61.38, 64.09, 65.93, 67.35, 68.74, 70.59, 79.12, 95.50, 170.80. Anal. Calcd for $C_{15}H_{18}FeO_3$: C, 59.60; H, 5.96. Found: C, 59.70; H, 5.98.

 $1(S)-[1(S)-Hydroxyethyl]-2(R)-(hydroxymethyl)-ferrocene (4a). Hydrolysis of 4b (40 mg) with NaOH in ethanol afforded 4a (30 mg, 88% yield), <math>[\alpha]_D = +29.6^{\circ}$ (c 0.5). The ¹H and ¹³C NMR spectra were identical with those described above for racemate B.

1(R)-[1(R)-(Acetyloxy)ethyl]-2(S)-[(acetyloxy)methyl]-ferrocene (3d): $[\alpha]_D = +6.0^{\circ}$ (c 0.5); IR 3014, 2932, 1733, 1374, 1064, 1022, 1107 cm⁻¹; ¹H NMR δ 1.40 (d, J = 6.4 Hz, 3H), 2.01 (s, 3H), 2.17 (s, 3H), 4.13 (bs, 5H), 4.17 (t, J = 2.5 Hz, 1H), 4.24 (m, 1H), 4.28 (m, 1H), 4.90 and 4.98 (AB system, d, J = 12.1 Hz, each 1H), 5.86 (q, J = 6.4 Hz, 1H); ¹⁸C NMR δ 19.55 and 20.83, 23.05, 61.58, 67.05, 67.41, 68.80, 69.22, 70.38, 78.71, 90.07, 170.00 and 170.67. Anal. Calcd for $C_{17}H_{20}FeO_4$: C, 59.30; H, 5.81. Found: C, 59.45; H, 5.78. Alkaline hydrolysis of 3d afforded 3a.

1-[(1-Acetyloxy)ethyl]-2-(hydroxymethyl)ferrocene (3c and/or 4c): 1 H NMR δ 1.43 (d, J = 6.5 Hz, 3H), 2.17 (s, 3H), 4.13 (bs, 5H), 4.20 and 4.35 (m, 5H), 5.91 (q, J = 6.5 Hz, 1H).

Determination of the Absolute Stereochemistry of 2d. Compound 2d (50 mg, >97% ee) was treated with NH(CH₃)₂ and MeOH for 2 days at room temperature according a procedure previously reported. The reaction mixture after dilution with ether was extracted with 10% aqueous citric acid. To the aqueous layer was added 20% NaOH to pH 8.5 and then extracted with ether. Evaporation of the solvent gave 5 (35 mg, 81% yield): $[\alpha]_D = +118.0^{\circ}$ (c 0.5), lit. $[\alpha]_D = +121.5^{\circ}$, whose ¹H NMR spectrum and elemental analysis agreed with those reported. $[\alpha]_D = +121.5^{\circ}$

Determination of the Absolute Stereochemistry of 4b. Oxidation of (+)-4b (20 mg, 78% ee) with 20 mg of MnO₂ in CH₂Cl₂ at room temperature for 12 h afforded 18 mg (91% yield) of 1(S)-acetyl-2(R)-[(acetyloxy)methyl]ferrocence (6): $[\alpha]_D = -335.0^{\circ}$ (c 0.5); IR 3024, 3012, 2933, 1733, 1666, 1435, 1372, 1253, 1107, 1003 cm⁻¹; ¹H NMR δ 2.07 (s, 3H), 2.49 (s, 3H), 4.21 (s, 5H), 4.45 (m, 1H), 4.62 (m, 1H), 4.70 (m, 1H), 5.23 and 5.33 (AB system, d, J = 12.1 Hz, each 1H); ¹³C NMR δ 21.03, 28.25, 61.86, 70.49, 70.93, 72.44, 74.52, 77.82, 83.43, 170.91, 194.61. Anal. Calcd for C_{1b}H₁₆FeO₃: C, 60.00; H, 8.89. Found: C, 60.10; H, 8.91. Ketone 6 had the same chiroptical properties of the compound obtained by oxidation of 2b in the same conditions ($[\alpha]_D = -341.0^{\circ}$, c 0.5).

Acknowledgment. Financial support from the CNR Scheme "Progetto Finalizzato per la Chimica Fine e Secondaria" is gratefully acknowledged. Thanks are also due to Amano Pharmaceutical Co., Ltd for kindly providing lipase from *P. cepacia*.